Perspective of fracture mechanics inspired by gap test with crack-parallel compression

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The line-crack models, including linear elastic fracture mechanics (LEFM), cohesive crack model (CCM), and extended finite element 2 method (XFEM), rest on the century-old hypothesis of constancy of 3 materials' fracture energy. However, a new type of fracture test presented here, named the gap test, reveals that, in concrete and prob-5 ably all quasibrittle materials, including coarse-grained ceramics, 6 rocks, stiff foams, fiber composites, wood and sea ice, the effective 7 mode I fracture energy depends strongly on the crack-parallel normal stress, in-plane or out-of-plane. This stress can double the fracture energy or reduce it to zero. Why hasn't this been detected earlier?-10 Because the crack-parallel stress in all standard fracture specimens 11 is negligible, and is anyway unaccountable by line-crack models. To 12 simulate this phenomenon by finite elements (FE), the fracture pro-13 cess zone must have a finite width, and must be characterized by 14 a realistic tensorial softening damage model whose vectorial con-15 stitutive law captures oriented mesoscale frictional slip, microcrack 16 opening and splitting with microbuckling. This is best accomplished 17 by the FE crack band model which, when coupled with microplane 18 model M7, fits the test results satisfactorily. The lattice discrete 19 particle model also works. However, the scalar stress-displacement 20 softening law of CCM and tensorial models with a single-parameter 21 damage law are inadequate. The experiment is proposed as a stan-22 dard. It represents a simple modification of the three-point-bend test 23 in which both the bending and crack-parallel compression are stati-24 cally determinate. Finally, a perspective of various far-reaching con-25 sequences and limitations of CCM, LEFM and XFEM is discussed. 26

fracture energy | cohesive crack model | Finite element crack band model | softening damage | quasibrittle materials

he linear elastic fracture mechanics (LEFM), originated by Griffith in 1921 (1)), and the cohesive crack 2 model (CCM), introduced by Barenblatt in 1959 (2)), are 3 line crack models that do not include the crack-parallel 4 strain ϵ_{xx} among the basic thermodynamic variables, and 5 thus cannot take the crack-parallel normal stress σ_{xx} prop-6 erly into account. This is because a zero width fracture 7 process zone (FPZ) is considered. Thus the crack-parallel 8 normal stress σ_{xx} (Fig 1A) can enter the LEFM or CCM 9 only as a parameter of fracture energy. Then, however, 10 one cannot distinguish different histories of crack-parallel 11 stress, and their effects on the relative displacements of 12 crack faces and on the stress-strain tensors in the FPZ. 13 Therefore, a FPZ of finite width must be modeled, re-14 flecting its meso-scale physical behavior. The possibilities 15 are a tensorial damage softening constitutive law coupled 16 with crack band model (CBM) (3), the nonlocal models 17 (4), or the lattice discrete particle model (LDPM) (5–7). 18 The softening law must capture the difference between 19

(a) the total fracture energy, G_F , which represents the 20 area under the traction-separation curve in CCM; and (b) 21 the initial fracture energy, G_f , which is the area under 22 the initial tangent of the traction-separation curve and 23 is the key parameter for predicting the load capacity of 24 concrete specimens and structures (8, 9) (see Fig. 1F). 25 Both CCM and LDPM can capture this difference. Typi-26 cally, $G_F/G_f \approx 2$ to 6 for concretes. G_f is what governs 27 the maximum loads of most structures, while G_F usually 28 matters only for energy adsorption, e.g., under impact. 29

High crack-parallel stresses are important for all qua-30 sibrittle materials such as concrete, shale, coal and vari-31 ous rocks, stiff soils, tough or toughened ceramics, bone 32 and many biomaterials, fiber composites, sea ice, printed 33 solids, rigid foams and wood, because these materials 34 exhibit similar mesoscale mechanisms. All brittle ma-35 terials become quasibrittle on the micro- or nano-meter 36 scales. The importance of considering a finite width of 37 FPZ is supported by futile experience with the cohesive 38 crack modeling of size effect in shear failure of reinforced 39 concrete beams and slabs, which has been a formidable 40 problem for decades. A crack of nearly mode I type, driven 41 by shear force, propagates in a stable manner through 42 about 80% of the cross section depth, and the failure 43 eventually occurs because of crack-parallel compression 44

Significance Statement

Fracture mechanics has long been an essential tool for ensuring safety, efficiency and durability in the mechanical, aerospace, nuclear, naval, petroleum and other industries. Recently, with the adoption of fracture-based size effect law for design code of American Concrete Institute (ACI-318), fracture mechanics has also become the basis of designing concrete structures against quasibrittle failures. The present experimental discovery will improve the fracture predictions for concrete, rock (including shale), fiber composites, tough ceramics, sea ice, wood and other quasibrittle materials.

Z.B. proposed general approach; H.N. and Z.B. designed research and G.C. and M.I. advised on it; H.N. and M.P. produced test specimens; H.N., M.P., M.R. and M.I. performed experiments; H.N., M.P. and M.R. analyzed test results; all discussed the results; H.N. and Z.B wrote the paper; G.C. improved it.

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at the crack front (Fig. 2C,D). Another example is the
gross overestimation of the forces exerted by sea ice on
the legs of oil platforms. Neither LEFM nor CCM could
ever fit the data but the CBM (with M7) (8, 10–13) and
the LDPM (14, 15) could. This experience is what partly

inspired this study. 50 The micro-mechanism of compression damage and frac-51 ture in these materials consists of lateral expansion due 52 to splitting and slip along inclined microcracks or along 53 weak interfaces between inhomogeneities. Metals, on the 54 micrometer scale, exhibit progressive strain softening (due 55 to void growth or grain boundary mismatch (16), or to 56 hydrogen embrittlement (17)), and so σ_{xx} must have an 57 effect at that scale, too. Similarly, such stresses play a 58 non-negligible role in hydraulic fracturing of shale at 3 59 km depth as they are nearly equal to the uniaxial com-60 pression strength, σ_c . High σ_{xx} also arises in composite 61 laminates in aircraft and automobile crush cans, sea ice 62 floes pushing against oil platform, pavement cracks, etc. 63

Relevant Previous Studies. The effect of crack-parallel 64 stresses in quasibrittle materials has been widely ignored. 65 The reason obviously is that, for line-crack models (LEFM, 66 CCM), a line crack cut along x-direction in a uniform 67 field of σ_{xx} causes, of course, no stress change. This 68 might be why all the standard notched fracture test 69 specimens—three-point-bend (3PB), single-edge-notched 70 tension (SENT), circumferentially notched tension (CNT), 71 diametral compression (DC), compact tension (CT), dou-72 ble cantilever, edge-notched eccentric compression, etc.-73 have near-zero σ_{xx} . The wedge-splitting specimen might 74 seem to be an exception, but the $|\sigma_{xx}|$ is insignificant 75 compared with the uniaxial compression strength, f_c , and 76 is non-negligible only at some distance from the FPZ. 77

Another reason for experiments with negligible σ_{xx} 78 might have been to shun the complexity of applying addi-79 tional loads, which leads to ambiguity. In structural engi-80 neering labs, tests with multiple loads are, of course, com-81 monplace, but they require the use of multiple hydraulic 82 jacks, which introduce undesirable self-weight loads and 83 lead to a statically indeterminate support system in which 84 stress evaluation requires a damage constitutive law which 85 many be well understood. 86

Hydraulic jacks causing crack-parallel compression 87 were used in 1995 by Tschegg et al. (18) in an elabo-88 rate modification of the wedge-splitting test. The results 89 confirmed the hint from the 1987 microplane model that 90 crack-parallel compression should matter. However, the 91 evaluation was aimed at G_F rather than G_f , and thus was 92 compromised by unknown shape, at that time, of the com-93 plete softening law (as in Fig. 1F), and suffered from the 94 complexity of the stress field due to the weight of heavy 95 clamping frames, and to friction under the jacks. Bigger 96 problems were the lack of tests at different sizes, without 97 which the work-of-fracture method is now known to give 98 ambiguous results (19), due to the FPZ size variation 99

near notch tip and near opposite boundary.

The effect of σ_{xx} , called the T-stress, was also consid-101 ered in fracture of plastic metals (20–23). Triaxiality of 102 stress state in a tip-surrounding annulus, with extra pa-103 rameter Q as the relative difference between stress fields 104 when T is or is not zero, led to a monotonic increase of 105 the critical J-integral value based on the Hutchinson-Rice-106 Rosengren (HRR) (24, 25) field. These results, however, 107 are not transplantable to quasibrittle materials, in which 108 the physics is different (Fig. 2E) and the σ_{xx} effect can be 109 non-monotonic. Moreover, the impact of biaxial in-and-110 out-of-plane stresses seems not to have been studied for 111 metals. The T-stress effect was also analyzed in (26), but 112 for a different purpose—curved deflection of the LEFM 113 crack path. 114

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In numerical analysis, the simplest and the most widely 115 used method for quasibrittle fracture of concrete and geo-116 materials is the crack band model (CBM) (3). It requires 117 a realistic tensorial constitutive law for softening damage 118 (27, 28), so as to capture implicitly the mechanisms in 119 Fig. 2E. An alternative is an explicit mesoscale particle 120 model, e.g. (6, 7). The microplane model for concrete, 121 particularly its latest version M7 (CBM-M7) (27, 28) em-122 ployed here, has been shown to reproduce the dilatant slip 123 and splitting closely. However, the cohesive crack model 124 with a unique traction-separation law for a line crack, 125 the LEFM used in XFEM (29), and the tensorial dam-126 age band models governed by a single parameter (30-32), 127 cannot capture the σ_{xx} effect. 128

Fracture test with crack-parallel compression. To the demonstrate and measure the σ_{xx} effect, we develop a surprisingly simple test of notched beams, named the gap test, with four crucial features: the test of test

(i) plastic support pads with near-perfect plastic yielding introduce, at first, notch-parallel compression σ_{xx} ;

(ii) rigid end supports are installed with gaps and engage only after constant σ_{xx} begins to act, which

(iii) delivers a support system that switches from one statically determinate configuration to another, thus allowing unambiguous interpretation; and

(iv) the test is at the same time suitable for the size effect method needed for evaluating the fracture energy G_f and characteristic FPZ size c_f unambiguously.

In this new experiment, depicted in Fig. 1A (as devel-143 oped at Northwestern University), a notched three-point-144 bend concrete beam is placed on two kinds of sequentially 145 engaged statically determinate supports: 1) two symmet-146 ric pairs of initially contacting polypropylene pads, one 147 pair immediately adjacent to the sides of the notch, and 148 2) a pair of stiff cylindrical supports installed with initial 149 small gaps at beam ends. 150

The pads initially deform elastically and subsequently exhibit a long, almost horizontal, yield plateau, shown in Fig. 1B. The magnitude of the maximum yield force, for a given pad area in contact with the specimen, can be controlled by piercing the pad with holes, which allows
applying different levels of stress parallel to the notch.
The center-span load is applied through a pair of steel
plates located symmetrically to the plastic pads.

Until the support gaps at the ends close, the only 159 loading is by two compression forces along the notch 160 plane, with only negligible bending due to the self-weight. 161 The pair of steel plates at the top is mildly restrained 162 against rotation, to ensure stability. Shortly after the pads 163 begin to yield, the stiff end supports engage in contact 164 and produce a bending moment which increases until the 165 maximum load is reached, while, thanks to plastic yielding 166 of the pads, the crack-parallel compression force remains 167 constant. Thus the bending action, which is what opens 168 the crack, is statically determinate. 169

The compressive stress in the FPZ, σ_{xx} , which is what 170 matters to the material property, is proportional to, but 171 only slightly smaller than, the compressive stress under 172 the pads, σ_{pad} . The reduction ratio, $r_c = \sigma_{xx}/\sigma_{pad}$, ob-173 tained by crack band FE analysis, is about 0.96, although 174 nolinear analysis would give a slight (and virtually negligi-175 ble) variation of r_c with P and structure size. To prevent 176 notch mouth corners from shearing off under the pad 177 force, short and thin laminate sheets are glued at bottom 178 adjacent to the notch. Their effect on the stress intensity 179 180 factor is negligible. The crack-tip opening displacement δ_{CTOD} is measured by an extension (Fig. 1A). After 181 reaching P_{max} , the curve of load P versus load-point dis-182 placement drops to the yield load value (Fig. 1D) and 183 the beam then fractures completely. 184

Since the plasticized polymer in the pads is incom-185 pressible, it gets squeezed laterally from the pads. The 186 tangential stiffness of the rectangular pads pads of width 187 $l \ll \text{length } L \text{ can be shown to be } H = L\mu(l/h)^3 \text{ where } h$ 188 = thickness of the plasticized polymer layer, l = its length189 (in 2D), and μ = tangential shear modulus of the plas-190 ticized polymer (with no holes), which is very small but 191 inevitably nonzero (or else the squeezed polymer would 192 flow out like a fluid). H needs to be also very small, and 193 so l/h should be minimized in pad design. 194

What made the G_f measurement possible was to test 195 specimens of various sizes and apply the size effect method 196 (33), which is the most robust approach to measure the 197 initial fracture energy G_f (and c_f). It has been adopted 198 as an international standard recommendation (34) and 199 endorsed by ACI-446 (35). It is based on the size effect law 200 for quasibrittle fracture (10, 33, 36, 37). It has become 201 the most widely used method for testing G_f of concrete 202 and geomaterials. One advantage is that it necessitates 203 measuring only P_{max} (no postpeak), though for at least 204 three sufficiently different specimen sizes (8, 33, 38). As 205 another advantage, the identification of G_f along with the 206 characteristic FPZ size c_f is reducible to linear regression. 207 Importantly, the derivation of this method (8, 33) is not 208 affected by the crack-parallel stress, neither in-plane σ_{xx} 209 nor out-of-plane σ_{zz} . 210



Fig. 1. (A) Experimental setup of the gap test (with coordinates x, y, z); (B) Stressstrain behavior of plastic pad corresponding to two values of tested σ_{xx} ; (C) Experimental procedure; (D) Typical load-machine displacement behavior; (E) Extracted load-CTOD; (F) Traction-separation curve without crack-parallel stress.

The experiments used normal concrete with mean cylin-211 drical compression strength $f_c = 40.5$ MPa, maximum 212 aggregate size 18 mm, span-to-depth ratio 2L/D = 3.75, 213 and notch depth ratio a/D = 0.3. Beams of three depths 214 $D=101.6~\mathrm{mm}$ (4 in), 203.2 mm (8 in) and 406.4 mm (16 215 in), were scaled geometrically. The specimen thickness 216 was 101.6 mm for all sizes. A typical measured curve of 217 load P vs. load-point displacement u and the curve of P218 versus δ_{CTOD} is shown for D = 101.6 mm in Fig. 1D,E. 219

The three data points (empty circles) in Fig. 2A, 220 based on regression of data from $3 \times 9 = 27$ gap tests, are 221 the evaluated effective values of fracture energy G_f as a 222 function of three levels of compression stress σ_{pad} applied 223 at the yielding pads. Obviously, G_f is not constant but 224 strongly depends on σ_{pad} . This suffices to raise doubts 225 about the applicability of both the LEFM and the cohesive 226 crack model, both of which require constancy of G_f . To 227 get the effective G_f as a material property, the data are 228 scaled by r_c to the σ_{xx} values at notch tip-the solid circle 229 points in Fig. 2a. 230

Alternatively, according to the classical work-of-231 fracture method (39-41), one could estimate the total 232 fracture energy, G_F , via the area between the whole up-233 and-down curve and the horizontal yield line in Fig. 1A. 234 However, this method requires stabilizing the postpeak 235 softening and is rather ambiguous if the correct shape of 236 the cohesive law, Fig. 1F, is not known a priori (19). To 237 avoid ambiguity of G_F , the work-of-fracture test must be 238 conducted at several sufficiently different specimen sizes 239 (19). Hence, to measure how G_F depends σ_{xx} , the present 240 test would have to be extended into the whole postpeak 241 for all the sizes D. 242

Fitting and evaluation of test results using microplane 243 model M7. The simplest and most widely used FE method 244 to suppress spurious mesh sensitivity caused by localiza-245 tion instability of strain-softening damage is the crack 246 band model (3, 8, 42). In a quasibrittle material (i.e., a 247 heterogenous material with brittle constituents and inho-248 mogeneities or grains not negligible compared to structure 249 size), the crack, blunted at front by a long and wide FPZ, 250 is modeled by a band of finite elements (FE) of width 251 h representing a material property; $h = G_{f_0}/A$ where 252 A is the area under the curve of stress versus relative 253 displacement and $G_{f_0} = G_f$ value for $\sigma_{xx} = 0$. The 254 precise h-value is not too important but the same h must 255 be used for different structure sizes D. Alternatively, if 256 the postpeak of the stress-separation curve is scaled so 257 that Ah would give the same G_f , then h can be changed, 258 with some loss in accuracy. Here, h is kept the same for 259 all D. 260

The microplane model M7 (27, 28) presented here is the 261 latest version of microplane models whose development be-262 gan at in 1983. In this model, the damage constitutive law 263 is defined in terms of stress and strain vectors acting on 264 mesoscale planes, called the micro-planes, which sample 265 discretely all spatial orientations according to an optimal 266 Gaussian numerical integration formula for a spherical 267 surface. The use of vectors permits a direct physical mod-268 eling of oriented cracking, splitting, and frictional slip, 269 which are crucial for describing the complex stress state 270 in the FPZ. For softening damage, the strain vector is 271 projected from the continuum strain tensor, upon which 272 the stress vectors on all the microplanes are used in the 273 variational principle of virtual work to obtain the stress 274 tensor. M7 has been shown to be give good predictions 275 in complex fracture problems and is featured in various 276 softwares. Here M7 is implemented as user-defined ma-277 terial into the commercial software ABAQUS. Six-node 278 wedge elements are used. 279

The FE program with crack band model and M7 was 280 calibrated so as to give the correct values of uniaxial 281 compression strength and G_f at $\sigma_{xx} = 0$, which is the 282 first data point in Fig. 2A. This calibration sufficed for 283 the FE program with M7 to match closely the tensile 284 material tests. The same FE program was then used to 285 predict the G_f for many applied pressures σ_{pad} , which led 286 to the dashed curve in Fig. 2A, plotted in dimensionless 287 coordinate $\xi_{pad} = \sigma_{pad} / \sigma_c$. Note that this curve matches 288 satisfactorily (within inevitable experimental scatter) the 289 empty circles showing the measured Gf. 290

However, the plot of G_f vs. ξ_{pad} does not represent 291 a material property. What does is the plot of G_f vs. 292 $\xi = \sigma_{xx}/\sigma_c = r_c \sigma_{pad}/\sigma_{xx}$, corresponding to σ_{xx} values at 293 notch tip. The measured data for G_f are shifted by the 294 same ratio r_c and are shown by the solid circle points. 295 For comparison, ratio r_c calculated by a linearly elastic 296 FE program with a stress-free crack band is $r_{c,el} = 0.942$ 297 for the medium size specimens, 0.981 for the smallest, 298



Fig. 2. (A) G_f as a function of σ_{pad} (dashed curve) and of σ_{xx} (solid curve); (B) G_f as a function of σ_{xx} subject to different values of anti-plane stress σ_{zz} (a = 1.038, b = 0.245, c = 7.441 in Eq. 1); (C) Mohr circles corresponding to the M7 results in A), with σ_{yy} = nominal strength at peak load; (D) A zoom into the region of small σ_{xx} ; and (E) Proposed mechanisms for increase and decrease of G_f .

and 0.925 for the larges; 0.942 is so close 0.962 that $r_{c,el}$ should suffice in practice.

The agreement of the predicted curve with the three data points in Fig. 2A is satisfactory. This observation lends enhanced credence to the new test.

Intuitive explanation of G_f variation by a microstructural mechanism. Can the observed dependence of G_f on crack-parallel stress ratio ξ be plausibly explained physically? It can, by the mechanisms schematized in Fig. 2E (43):

1) To explain the initial rising part of the curve in Fig. 309 2A, note that a major part of the Mode I fracture energy 310 of concrete is dissipated by frictional slip on microcracks 311 inclined with respect to the directions of macrocrack prop-312 agation (44) and by grain interlock enhanced by surface 313 roughness, rather than by opening of tensile microcracks. 314 A pressure on the inclined microcrack as a projection of 315 crack-parallel stress will obviously increase the resistance 316 to slip. This feature explains why, in concrete, the curve 317

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of effective G_f versus ξ is initially rising.

2) To explain the second, descending, part of the curve 319 in Fig. 2A, note that a higher crack-parallel compression 320 overcomes friction and causes the inclined microcrack to 321 slip, which in turn causes lateral expansion with axial 322 splitting cracks (Fig. 2E). Another possible mechanism is 323 the formation of inclined bands of axial splitting cracks 324 (43), which also leads to slip with lateral expansions (Fig. 325 2E) of width s. Both must cause the FPZ to widen. 326

Can Mohr failure envelope be used to predict incipient 327 failure? The Mohr circles for the subsequent stress states 328 in FPZ are plotted in Fig. 2C,D (σ = hydrostatic stress, 329 $\tau = \text{maximum shear stress}$). The first slip mechanism, 330 frictional resistance with no damage, seems to follow a 331 curved Mohr failure envelope with strength expanding 332 at moderate increase of hydrostatic pressure (Fig. 2C). 333 However, when the second mechanism with expansive 334 damage takes over, the Mohr envelope concept breaks 335 down. This is blatantly demonstrated by zooming, in 336 Fig. 2D, on the critical region of small σ and τ . Obvi-337 ously, no envelope exists. This is not surprising since the 338 plasticity-type failure criteria based on tensor invariants 339 are inherently incapable of capturing the concentration of 340 slip into planes of distinct orientations, which represent 341 the reality. 342

Since the first mechanism is not typical of fiber composites, it is suspected that, unlike Fig. 2A,B, their $G_f(\xi)$ -curve would normally be descending monotonically. This would mean that crack-parallel compression is more dangerous than in concrete.

Proposal for a new standard fracture test—Gap Test .
 It now becomes clear that, for quasibrittle materials, the

currently standardized fracture tests provide insufficient 350 information. Since, in reinforced concrete, geomechanics 351 or structural composites, cracks with significant crack-352 parallel compression or tension often occur in finite ele-353 ment analysis, societies such as ASTM (American Society 354 for Testing and Materials) or RILEM (International Union 355 of Laboratories and Experts in Construction Materials, 356 Systems and Structures, Paris) should consider introduc-357 ing a standard test. The present test, called Gap Test, is 358 a good candidate. 359

Vision of fracture mechanics future. Although the 360 present experiments demonstrate the importance of crack-361 parallel stress, they are too limited to justify immediate 362 sweeping changes in fracture mechanics practice. Nev-363 ertheless, in the light of these experiments, it is already 364 obvious that an extensive program of experiments, theo-365 retical modeling and numerical simulations is called for. 366 Such a program will, of course, require time, significant 367 funding and teams of investigators. 368

So, at this centennial anniversary of Griffith's founding of fracture mechanics (1921), we content ourselves merely with offering a vision of the future.

1) It will be necessary to determine all the consequences 372 of crack-parallel compression or tension, in-plane, anti-373 plane and combined, for the apparent fracture energy in 374 Mode I, and doubtless also modes II and III, and mixed 375 mode-for concretes of diverse types, shale and various 376 other rocks, fiber composites, toughened ceramics, rigid 377 foams, bone, printed solids, sea ice and many other qua-378 sibrittle materials. Anisotropic materials such as shale 379 or fiber composites will surely show more diversity. Be-380 cause of the well known weakness in compression of fiber 381 composites, especially the unidirectional ones, and the 382 absence of friction and interlocking, a strong monotonic 383 decrease of effective G_f with crack-parallel compression 384 is expected. The histories of σ_{xx} and σ_{zz} (and probably 385 also in-plane shear stress) will doubtless make a difference, 386 too. 387

2) Major implications can be expected for the hydraulic fracturing of shale, typically conducted at 3 km depth, at which the crack-parallel compression along a vertical crack, due to tectonic stress and overburden, is near the uniaxial strength limit.

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3) The fracture energy of geological faults causing earthquakes is another tantalizing problem. Very narrow though the fault slip zone is, the FPZ at the front of propagating fault slip might nevertheless be wide enough for the huge tectonic stress parallel to fault to have an effect.

4) In view of the mechanism sketched in Fig. 2E, it is 399 expected that, in coarse ceramics, concrete and other qua-400 sibrittle materials, the crack-parallel compression would 401 accelerate cyclic and static fatigue crack propagation, in-402 creasing the prefactor of Paris law and Charles-Evans law, 403 and perhaps altering the exponent. The size dependence 404 of these laws (8, 10, 45, 46), particularly the transition 405 size D_0 , might also get modified. 406

5) Fiber reinforcement of concrete tends to mitigate the compression splitting, which is explained by inhibition of the microscale splitting as in Fig. 2E. Fibers are thus expected to prolong the initial rise of the G_f curves in Fig. 2A and to postpone their final descent.

6) While the crack-parallel stress has a very different, and already known, effect in plastic-hardening metals, the micrometer scale might be an exception (47) because quasibrittle behavior such as gradual postpeak softening with size effect has been observed on thin metallic films. This could matter for micro-electromechanical system (MEMS) substrates and may be worth investigation.

7) As it now appears, neither the cohesive crack model, 419 nor the LEFM based models, should be used in general 420 purpose FE softwares for quasibrittle structures. This 421 includes XFEM (29) based on LEFM, and also damage 422 band models based on a one-parameter tensorial damage 423 law (30, 31, 48) which cannot fit the triaxial material tests 424 of various types (27) obtained on specimens of roughly 425 the same size as the FPZ (the so-called "peridynamics" 426 needs no comment (49)). These models are usable only if 427 it is known a priori that the crack-parallel normal stresses,
both in-plane and out-of-plane, are negligible. To capture
the effects of these stresses, fracture must be modeled as
a band with a realistic tensorial softening damage model,
preferably based on vectorial constitutive stress-strain
relations that can capture orientation effects, as in mi-

croplane or meso-mechanical models such as LDPM. 434 8) The need for tensorial characterizations of FPZ was 435 suggested in a recent approach (50, e.g.) in which a band 436 with softening constitutive damage law is shrunken into a 437 line, so as to enrich the stress-displacement relation of a 438 cohesive line crack by ϵ_{xx} as an additional parameter. A 439 step in the right direction though this was, the formulation 440 was not shown capable of describing the crack-parallel 441 stress effects and reproducing the effects of triaxial stress 442 history and of nonproportional evolution of stress and 443 strain tensor components in the FPZ. Also, after shrink-444 ing the damage band of finite width into a line crack, the 445 minimum possible spacing of parallel cracks does not get 446 enforced. 447

9) The importance of considering a tensorial FPZ of
finite width, as in crack band model, or material heterogeneity as in LDPM, is blatantly demonstrated by: a)
the futile experience with the LEFM and CCM of size
effect in shear failure of RC beams and slabs, or b) gross
overestimation of the measured force exerted on the legs
of oil platforms by a moving ice plate.

⁴⁵⁵ **10**) The Mohr failure envelope has been widely used to ⁴⁵⁶ assess incipient fracture of shale, and slip in geophysics. ⁴⁵⁷ However, due to high σ_{xx} , this is unealistic.

⁴⁵⁸ **11**) The curve in Fig. 2A,B can be closely approxi-⁴⁵⁹ mated by

$$G_{f_0} \qquad G_f/G_{f_0} = 1 + a/(1+b/\xi) - (1+a+b)/(1+b)\xi^c \quad [1]$$

where $f_c = \text{compression strength}$. Constants a, b, c are 461 different for different materials, structure sizes, load his-462 tories, σ_{zz}/σ_{xx} ratios, etc. Having such formulas for vari-463 ous situations, the existing softwares for cohesive cracks, 464 LEFM, XFEM or phase-field model could be adapted to 465 variable fracture energy, as a crude approximation. But 466 there seems no good general way to avoid crack band or 467 meso-scale simulations. 468

Afterthought: Many hot research subjects become
closed in a few decades. But, like turbulence, fracture
mechanics is different. This formidable subject has been
researched for a century, and probably will for another
century.

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- 478 Data availability: The data from the experiments and simulations
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